

## CUSTOMER SERVICES – SCHOOL APPEALS

### ANNUAL STATISTICAL REPORT SCHOOL ADMISSION, TRANSPORT AND EXCLUSION APPEALS SEPTEMBER 2020 TO AUGUST 2021 SCHOOL ADMISSION APPEALS

Applicants can lodge appeals for any school they have not been awarded a place in, but not all such appeals reach the stage of being heard by an appeals panel. This is because either a place is offered at their preferred school, or parents withdraw their appeal. The heard rate is therefore a better measure of the appeal rate.

The statistics in this report include statutory appeals for Community and Voluntary Controlled schools, plus Academies, Free, Voluntary-aided and Foundation schools for whom Customer Service provide a clerking service.

An Independent Appeal Panel's decision to uphold an appeal will fall into one of the following:

- Upheld 1:** The case was NOT proved that to admit more children would “prejudice efficient education or the efficient use of resources” at the school;
- Upheld 2:** The circumstances of the parental case were so exceptional as to outweigh the “prejudice” to the school;
- Upheld A:** That the child would have been offered a place if the school admission arrangements had been properly implemented and/or did not breach the mandatory provisions of the School Admissions Code and SSFA 1998;
- Upheld B:** The decision to refuse admission was NOT one which a reasonable admission authority would have made in the circumstances of the case.

## PRIMARY SCHOOLS – 2020/21

### 1. Primary reception and In-Year appeals for the 2020/21 school year

The following statistics show the Reception and In-Year appeals separately for each school type. ICS relates to those appeals subject to the 30 infant class size limit legislation. In Year appeals are for any age group and are outside the Reception 2020/21 process.

## 1.1 Community and Voluntary Controlled Primary Schools ACADEMIC YEAR 2020/21

Appeals panel areas	Appeals lodged	Appeal withdrawn by parents before hearing	Offered a place before hearing	Appeals Heard	Rejec-ted	Upheld 1	Upheld 2	Upheld A	Upheld B
<b>North</b>									
Reception	1	1	0	0	0	0	0	0	0
Reception ICS	26	2	4	20	20	0	0	0	0
In-Year	22	2	8	12	4	1	7	0	0
In-Year ICS	25	5	7	13	13	0	0	0	0
<b>Total</b>	<b>74</b>	<b>10</b>	<b>19</b>	<b>45</b>	<b>37</b>	<b>1</b>	<b>7</b>	<b>0</b>	<b>0</b>
<b>East</b>									
Reception	2	0	1	1	1	0	0	0	0
Reception ICS	51	8	9	34	33	0	0	0	1
In-Year	85	16	19	50	44	0	6	0	0
In-Year ICS	45	11	13	21	21	0	0	0	0
<b>Total</b>	<b>183</b>	<b>35</b>	<b>42</b>	<b>106</b>	<b>99</b>	<b>0</b>	<b>6</b>	<b>0</b>	<b>1</b>
<b>South</b>									
Reception	0	0	0	0	0	0	0	0	0
Reception ICS	48	9	12	27	27	0	0	0	0
In-Year	17	4	5	8	7	0	1	0	0
In-Year ICS	34	15	10	9	9	0	0	0	0
<b>Total</b>	<b>99</b>	<b>28</b>	<b>27</b>	<b>44</b>	<b>43</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>
<b>West</b>									
Reception	1	0	0	1	1	0	0	0	0
Reception ICS	37	12	4	21	20	0	0	0	1
In-Year	43	14	9	20	14	0	6	0	0
In-Year ICS	32	8	8	16	16	0	0	0	0
<b>Total</b>	<b>113</b>	<b>34</b>	<b>21</b>	<b>58</b>	<b>51</b>	<b>0</b>	<b>6</b>	<b>0</b>	<b>1</b>

**For Community and Voluntary Controlled Primary Schools  
ACADEMIC YEAR 2020/21**

<b>OVERALL</b>	
Total heard	253
Total upheld	23
Percentage of total upheld	9.1%
<i>Where ICS legislation does not apply:</i>	
Reception heard	2
Reception upheld	0
Percentage of total upheld	0%
<i>Where ICS legislation does apply</i>	
Reception ICS	102
Reception ICS upheld	2
Percentage of total upheld	2%
<i>Where ICS legislation does not apply</i>	
In year	90
In year upheld	21
Percentage of total upheld	23.3%
<i>Where ICS legislation does apply</i>	
In Year ICS	59
In Year ICS upheld	0
Percentage of total upheld	0%

**Trends**

**Percentage of upheld appeals overall for Community and Voluntary Controlled Primary Schools**

<b>2015-16</b>	<b>12%</b>
<b>2016-17</b>	<b>13%</b>
<b>2017-18</b>	<b>15%</b>
<b>2018-19</b>	<b>15%</b>
<b>2019-20</b>	<b>14.9%</b>
<b>2020-21</b>	<b>9.13%</b>

## 1.2 Voluntary Aided Primary Schools ACADEMIC YEAR 2020/21

The statistics below show the Reception and In-Year appeals separately received for Voluntary Aided schools that have opted to use the central clerking service.

Appeals panel areas	Appeals lodged	Appeal withdrawn by parents before hearing	Offered a place before hearing	Appeals Heard	Rejec-ted	Upheld 1	Upheld 2	Upheld A	Upheld B
<b>North</b>									
Reception	0	0	0	0	0	0	0	0	0
Reception ICS	4	0	1	3	3	0	0	0	0
In-Year	4	2	0	2	1	0	1	0	0
In-Year ICS	3	1	1	1	1	0	0	0	0
<b>Total</b>	<b>11</b>	<b>3</b>	<b>2</b>	<b>6</b>	<b>5</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>
<b>East</b>									
Reception	0	0	0	0	0	0	0	0	0
Reception ICS	11	3	1	7	7	0	0	0	0
In-Year	18	6	4	8	5	0	3	0	0
In-Year ICS	4	1	2	1	0	0	0	0	1
<b>Total</b>	<b>33</b>	<b>10</b>	<b>7</b>	<b>16</b>	<b>12</b>	<b>0</b>	<b>3</b>	<b>0</b>	<b>1</b>
<b>South</b>									
Reception	0	0	0	0	0	0	0	0	0
Reception ICS	11	1	0	10	10	0	0	0	0
In-Year	2	0	2	0	0	0	0	0	0
In-Year ICS	5	3	1	1	0	0	0	1	0
<b>Total</b>	<b>18</b>	<b>4</b>	<b>3</b>	<b>11</b>	<b>10</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>
<b>West</b>									
Reception	0	0	0	0	0	0	0	0	0
Reception ICS	6	0	1	5	5	0	0	0	0
In-Year	4	1	0	3	1	1	1	0	0
In-Year ICS	3	0	1	2	1	0	0	1	0
<b>Total</b>	<b>13</b>	<b>1</b>	<b>2</b>	<b>10</b>	<b>7</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>0</b>

## Totals for Voluntary Aided Primary Schools ACADEMIC YEAR 2020/21

<b>OVERALL</b>	
<b>Total heard</b>	<b>43</b>
<b>Total upheld</b>	<b>9</b>
<b>Percentage of total upheld</b>	<b>20.93%</b>
<b>Where ICS legislation does not apply:</b>	
<b>Reception heard</b>	<b>0</b>
<b>Reception upheld</b>	<b>0</b>
<b>Percentage of total upheld</b>	<b>n/a</b>
<b>Where ICS legislation does apply</b>	
<b>Reception ICS</b>	<b>25</b>
<b>Reception ICS upheld</b>	<b>0</b>
<b>Percentage of total upheld</b>	<b>0%</b>
<b>Where ICS legislation does not apply</b>	
<b>In year</b>	<b>13</b>
<b>In year upheld</b>	<b>6</b>
<b>Percentage of total upheld</b>	<b>46.2%</b>
<b>Where ICS legislation does apply</b>	
<b>In Year ICS</b>	<b>5</b>
<b>In Year ICS upheld</b>	<b>3</b>
<b>Percentage of total upheld</b>	<b>60%</b>

### 1.2 Foundation Schools – PRIMARY – ACADEMIC YEAR 2020/21

The statistics below show the Reception and In-Year appeals separately received for Foundation schools that have opted to use the central clerking service.

	Appeals lodged	Appeal withdrawn by parents before hearing	Offered a place before hearing	Appeals Heard	Rejected	Upheld 1	Upheld 2	Upheld A	Upheld B
Reception	0	0	0	0	0	0	0	0	0
Reception ICS	2	0	1	1	1	0	0	0	0
In-Year	5	0	0	5	4	0	1	0	0
In-Year ICS	4	0	0	4	4	0	0	0	0
<b>Total</b>	<b>11</b>	<b>0</b>	<b>1</b>	<b>10</b>	<b>9</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>

## Totals for Foundation Schools – PRIMARY – ACADEMIC YEAR 2020/21

<b>OVERALL</b>	
Total heard	10
Total upheld	1
Percentage of total upheld	10%
<b><i>Where ICS legislation does not apply:</i></b>	
Reception heard	0
Reception upheld	0
Percentage of total upheld	n/a
<b><i>Where ICS legislation does apply</i></b>	
Reception ICS heard	1
Reception ICS upheld	0
Percentage of total upheld	0%
<b><i>Where ICS legislation does not apply</i></b>	
In year heard	5
In year upheld	1
Percentage of total upheld	20%
<b><i>Where ICS legislation does apply</i></b>	
In Year ICS heard	4
In Year ICS upheld	0
Percentage of total upheld	0%

## Trends (Voluntary Aided and Foundation Primary Schools combined)

### Percentage of upheld appeals overall

<b>2015-16</b>	<b>25%</b>
<b>2016-17</b>	<b>14.3%</b>
<b>2017-18</b>	<b>27%</b>
<b>2018-19</b>	<b>27%</b>
<b>2019-20</b>	<b>27.9%</b>
<b>2020-21</b>	<b>18.9%</b>

**1.4 Academies and Free Schools that had opted to use the central clerking  
PRIMARY – ACADEMIC YEAR 2020/21**

Appeals panel areas	Appeals lodged	Appeal withdrawn by parents before hearing	Offered a place before hearing	Appeals Heard	Rejec-ted	Upheld 1	Upheld 2	Upheld A	Upheld B
<b>North</b>									
Reception	0	0	0	0	0	0	0	0	0
Reception ICS	0	0	0	0	0	0	0	0	0
In-Year	5	1	3	1	1	0	0	0	0
In-Year ICS	1	1	0	0	0	0	0	0	0
<b>Total</b>	<b>6</b>	<b>2</b>	<b>3</b>	<b>1</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>East</b>									
Reception	0	0	0	0	0	0	0	0	0
Reception ICS	32	6	3	23	23	0	0	0	0
In Year	38	14	3	21	18	1	2	0	0
In-Year ICS	17	7	3	7	7	0	0	0	0
<b>Total</b>	<b>87</b>	<b>27</b>	<b>9</b>	<b>51</b>	<b>48</b>	<b>1</b>	<b>2</b>	<b>0</b>	<b>0</b>
<b>South</b>									
Reception	0	0	0	0	0	0	0	0	0
Reception ICS	17	2	3	12	12	0	0	0	0
In-Year	11	2	8	1	1	0	0	0	0
In-Year ICS	6	1	3	2	2	0	0	0	0
<b>Total</b>	<b>34</b>	<b>5</b>	<b>14</b>	<b>15</b>	<b>15</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>West</b>									
Reception	0	0	0	0	0	0	0	0	0
Reception ICS	2	1	0	1	1	0	0	0	0
In-Year	3	2	0	1	1	0	0	0	0
In-Year ICS	3	1	1	1	1	0	0	0	0
<b>Total</b>	<b>8</b>	<b>4</b>	<b>1</b>	<b>3</b>	<b>3</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Totals for Academies and Free Schools that had opted to use the central clerking  
PRIMARY – ACADEMIC YEAR 2020/21**

<b>OVERALL</b>	
Total heard	70
Total upheld	3
Percentage of total upheld	4.3%
<b>Where ICS legislation does not apply:</b>	
Reception heard	0
Reception upheld	0
Percentage of total upheld	n/a
<b>Where ICS legislation does apply</b>	
Reception ICS heard	36
Reception ICS upheld	0
Percentage of total upheld	0%
<b>Where ICS legislation does not apply</b>	
In year heard	24
In year upheld	3
Percentage of total upheld	12.5%
<b>Where ICS legislation does apply</b>	
In Year ICS	10
In Year ICS upheld	0
Percentage of total upheld	0%

**Total Outcomes for Primary Reception and In Year**

	<b>HEARD</b>	<b>UPHELD</b>	<b>PERCENTAGE</b>
OVERALL	375	36	9.6%
RECEPTION APPEALS - ALL	165	2	1.21%
RECEPTION ICS APPEALS	163	2	1.23%
IN YEAR	210	34	16.2%
IN YEAR ICS ONLY	78	3	3.9%

- A total of 375 appeals were heard for Primary Reception and In Year combined.
- Of the total 165 Reception appeals heard across all school types during 2020/21, 2 were upheld representing a success rate of 1.21%.
- 163 of these appeals were Reception ICS and 2 were upheld representing a success rate of 1.23%
- Of the total 210 In Year appeals heard across all school types during 2020/21, 34 were upheld representing a success rate of 16.2%
- 78 of these appeals were In Year ICS and 3 were upheld, representing a success rate of 3.9%.



# JUNIOR AND MIDDLE 2020/21

## 2. Junior and Middle Schools

The statistics below show the number of entry appeals and In Year appeals for Junior and Middle schools using the clerking service.

### 2.1 Entry to Junior and In-Year appeals for 2020/21

Appeals panel areas	Appeals lodged	Appeal withdrawn by parents before hearing	Offered a place before hearing	Appeals Heard	Rejected	Upheld 1	Upheld 2	Upheld A	Upheld B
<b>North</b>									
Entry to	2	1	0	1	1	0	0	0	0
In Year	12	1	3	8	7	0	1	0	0
<b>Total</b>	<b>14</b>	<b>2</b>	<b>3</b>	<b>9</b>	<b>8</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>
<b>East</b>									
Entry to	0	0	0	0	0	0	0	0	0
In Year	6	1	0	5	3	0	2	0	0
<b>Total</b>	<b>6</b>	<b>1</b>	<b>0</b>	<b>5</b>	<b>3</b>	<b>0</b>	<b>2</b>	<b>0</b>	<b>0</b>
<b>South</b>									
Entry to	4	1	1	2	2	0	0	0	0
In Year	19	0	5	14	10	0	4	0	0
<b>Total</b>	<b>23</b>	<b>1</b>	<b>6</b>	<b>16</b>	<b>12</b>	<b>0</b>	<b>4</b>	<b>0</b>	<b>0</b>
<b>West</b>									
Entry to	4	1	2	1	1	0	0	0	0
In Year	13	2	7	4	4	0	0	0	0
<b>Total</b>	<b>17</b>	<b>3</b>	<b>9</b>	<b>5</b>	<b>5</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>OVERALL TOTALS</b>	<b>60</b>	<b>7</b>	<b>18</b>	<b>35</b>	<b>28</b>	<b>0</b>	<b>7</b>	<b>0</b>	<b>0</b>

- A total of 35 appeals were heard for Junior schools (8 more than on the last report)
- 7 appeals were upheld, representing a success rate of 20% (2.2% lower than on the last report)

## 2.2 Entry to Middle and In-Year appeals for 2020/21

All areas	Appeals lodged	Appeal withdrawn by parents before hearing	Offered a place before hearing	Appeals Heard	Rejected	Upheld 1	Upheld 2	Upheld A	Upheld B
Entry to	7	0	4	3	1	0	2	0	0
In Year	9	2	5	2	1	0	1	0	0
<b>Total</b>	<b>16</b>	<b>2</b>	<b>9</b>	<b>5</b>	<b>2</b>	<b>0</b>	<b>3</b>	<b>0</b>	<b>0</b>

Five appeals were heard for a middle school. Two were rejected, three were upheld, representing a success rate of 60%.

## SECONDARY 2020/21

### 3. In Year Secondary appeals for 2020/21

The number of In Year secondary appeals for the 2020/21 school year and outcomes are set out below. (In-Year appeals are for any age group and are outside the secondary transfer process). *NB Secondary transfer appeals for the 2020/21 school year were included in the 2019/20 report.*

#### 3.1 Community and Voluntary Controlled schools Secondary 2020/21

In recent years there has been a significant increase in the number of Community and Voluntary Controlled schools converting to Academies although this seems to have levelled off recently.

	Appeals lodged	Appeal withdrawn by parents before hearing	Offered a place before hearing	Appeals Heard	Rejected	Upheld 1	Upheld 2	Upheld A	Upheld B
In Year	7	0	4	3	0	1	2	0	0
Transfer	26	7	1	18	14	0	4	0	0
<b>TOTAL</b>	<b>33</b>	<b>7</b>	<b>5</b>	<b>21</b>	<b>14</b>	<b>1</b>	<b>6</b>	<b>0</b>	<b>0</b>

**GRAND TOTALS FOR COMMUNITY AND VC SECONDARY 2020/21**

	HEARD	UPHELD	PERCENTAGE
<b>OVERALL</b>	21	7	33.3%
<b>IN YEAR</b>	3	3	100%

**3.2a Foundation Schools Secondary 2020/21**

	Appeals lodged	Appeal withdrawn by parents before hearing	Offered a place before hearing	Appeals Heard	Rejected	Upheld 1	Upheld 2	Upheld A	Upheld B
In Year	39	6	14	19	12	0	7	0	0
Transfer	62	6	11	45	31	0	14	0	0
<b>TOTAL</b>	<b>101</b>	<b>12</b>	<b>25</b>	<b>64</b>	<b>43</b>	<b>0</b>	<b>21</b>	<b>0</b>	<b>0</b>

**3.2b Voluntary-Aided Schools Secondary 2020/21**

	Appeals lodged	Appeal withdrawn by parents before hearing	Offered a place before hearing	Appeals Heard	Rejected	Upheld 1	Upheld 2	Upheld A	Upheld B
In Year	2	1	0	1	0	0	1	0	0
Transfer	7	1	2	4	2	0	2	0	0
<b>TOTAL</b>	<b>9</b>	<b>2</b>	<b>2</b>	<b>5</b>	<b>2</b>	<b>0</b>	<b>3</b>	<b>0</b>	<b>0</b>

**GRAND TOTALS FOR VA AND FOUNDATION SECONDARY 2020/21**

	HEARD	UPHELD	PERCENTAGE
<b>OVERALL</b>	69	24	34.8%
<b>IN YEAR</b>	20	8	40%

### 3.3 Academies and Free Schools Secondary 2020/21

Appeals panel areas	Appeals lodged	Appeal withdrawn by parents before hearing	Offered a place before hearing	Appeals Heard	Rejected	Upheld 1	Upheld 2	Upheld A	Upheld B
<b>North</b>									
In Year	32	8	6	18	10	0	8	0	0
Transfer	67	9	6	52	42	0	10	0	0
<b>Total</b>	<b>99</b>	<b>17</b>	<b>12</b>	<b>70</b>	<b>52</b>	<b>0</b>	<b>18</b>	<b>0</b>	<b>0</b>
<b>East</b>									
In Year	74	12	34	28	19	1	8	0	0
Transfer	156	20	15	121	95	0	26	0	0
<b>Total</b>	<b>230</b>	<b>32</b>	<b>49</b>	<b>149</b>	<b>114</b>	<b>1</b>	<b>34</b>	<b>0</b>	<b>0</b>
<b>South</b>									
In Year	86	21	19	46	36	1	9	0	0
Transfer	151	19	19	113	96	5	12	0	0
<b>Total</b>	<b>237</b>	<b>40</b>	<b>38</b>	<b>159</b>	<b>132</b>	<b>6</b>	<b>21</b>	<b>0</b>	<b>0</b>
<b>West</b>									
In Year	112	35	18	59	44	0	15	0	0
Transfer	178	26	28	124	99	1	24	0	0
<b>Total</b>	<b>290</b>	<b>61</b>	<b>46</b>	<b>183</b>	<b>143</b>	<b>1</b>	<b>39</b>	<b>0</b>	<b>0</b>
<b>OVERALL TOTALS</b>	<b>856</b>	<b>150</b>	<b>145</b>	<b>561</b>	<b>441</b>	<b>8</b>	<b>112</b>	<b>0</b>	<b>0</b>

#### GRAND TOTALS FOR Academies and Free Schools Secondary 2020/21

	HEARD	UPHELD	PERCENTAGE
OVERALL	561	120	21.4%
IN YEAR	151	42	27.8%

#### Trends for the In Year appeals

- A total of 174 Secondary In Year appeals were heard
- 53 were upheld, representing a success rate of 30.5% (down 6.6% on the last report)
- Of the 174 appeals, 151 appeals were heard for a place in an Academy or Free School.

# TRANSFER APPEALS 2021/22

## 4. Reception appeals for 2021/22 school year

### 4.1 Community and Voluntary Controlled schools Primary Reception 2021/22

The following statistics are for Reception appeals only, Please note that In Year appeals will continue to be heard until the end of the 2021/22 school year. The statistics for those will therefore be shown in the 2021/22 annual report. ICS relates to those appeals subject to the 30 class-size limit legislation.

Appeals panel areas	Appeals lodged	Appeal withdrawn by parents before hearing	Offered a place before hearing	Appeals Heard	Rejected	Upheld 1	Upheld 2	Upheld A	Upheld B
<b>North</b>									
Reception	2	1	0	1	1	0	0	0	0
Reception ICS	30	6	4	20	20	0	0	0	0
<b>Total</b>	<b>32</b>	<b>7</b>	<b>4</b>	<b>21</b>	<b>21</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>East</b>									
Reception	1	1	0	0	0	0	0	0	0
Reception ICS	78	26	9	43	42	0	0	0	1
<b>Total</b>	<b>79</b>	<b>27</b>	<b>9</b>	<b>43</b>	<b>42</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1</b>
<b>South</b>									
Reception	1	0	1	0	0	0	0	0	0
Reception ICS	29	13	4	12	12	0	0	0	0
<b>Total</b>	<b>30</b>	<b>13</b>	<b>5</b>	<b>12</b>	<b>12</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>West</b>									
Reception	0	0	0	0	0	0	0	0	0
Reception ICS	49	19	13	17	17	0	0	0	0
<b>Total</b>	<b>49</b>	<b>19</b>	<b>13</b>	<b>17</b>	<b>17</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>OVERALL TOTALS</b>	<b>190</b>	<b>66</b>	<b>31</b>	<b>93</b>	<b>92</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1</b>

GRAND TOTALS FOR COMMUNITY AND VC PRIMARY RECEPTION 2021/22

	HEARD	UPHELD	PERCENTAGE
RECEPTION APPEALS ALL	93	1	1.1%
ICS APPEALS	92	1	1.1%

**Trends for Community and VC Primary Reception**

2015-16	3%
2016-17	2.4%
2017-18	7%
2018-19	2%
2019-20	2.8%
2020-21	1.9%
2021-22	1.1%

**4.2 Voluntary Aided Schools (all subject to ICS legislation) Primary Reception 2021/22**

The following statistics show the Reception appeals received for the Voluntary-Aided schools that opted to use the central clerking service.

**4.2 Voluntary Aided schools**

All areas	Appeals lodged	Appeal withdrawn by parents before hearing	Offered a place before hearing	Appeals Heard	Rejected	Upheld 1	Upheld 2	Upheld A	Upheld B
Reception	3	0	0	3	1	2	0	0	0
Reception ICS	17	10	5	2	2	0	0	0	0
<b>Total</b>	<b>20</b>	<b>10</b>	<b>5</b>	<b>5</b>	<b>3</b>	<b>2</b>	<b>0</b>	<b>0</b>	<b>0</b>

**GRAND TOTALS FOR VA PRIMARY RECEPTION 2021/22**

	HEARD	UPHELD	PERCENTAGE
RECEPTION APPEALS ALL	5	2	40%
ICS APPEALS	2	0	0%

### Trends for VA Primary Reception

Year	Percentage upheld
2015-16	2%
2016-17	4%
2017-18	40%
2018-19	29.4%
2019-20	25%
2020-21	0%
2021-22	10%

### 4.3 Foundation schools (all subject to ICS legislation) Primary Reception 2021/22

All areas	Appeals lodged	Appeal withdrawn by parents before hearing	Offered a place before hearing	Appeals Heard	Rejected	Upheld 1	Upheld 2	Upheld A	Upheld B
Reception	0	0	0	0	0	0	0	0	0
Reception ICS	6	4	2	0	0	0	0	0	0
<b>Total</b>	<b>6</b>	<b>4</b>	<b>2</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

### GRAND TOTALS FOR FOUNDATION PRIMARY RECEPTION 2021/22

	HEARD	UPHELD	PERCENTAGE
RECEPTION APPEALS ALL	0	0	n/a
ICS APPEALS	0	0	n/a

#### 4.4 Academies (all subject to ICS legislation) Primary Reception 2021/22

Appeals panel areas	Appeals lodged	Appeal withdrawn by parents before hearing	Offered a place before hearing	Appeals Heard	Rejected	Upheld 1	Upheld 2	Upheld A	Upheld B
<b>North</b>									
Reception	2	0	2	0	0	0	0	0	0
Reception ICS	3	1	0	2	2	0	0	0	0
<b>East</b>									
Reception ICS	17	9	0	8	8	0	0	0	0
<b>South</b>									
Reception ICS	12	0	4	8	8	0	0	0	0
<b>West</b>									
Reception ICS	9	2	2	5	5	0	0	0	0
<b>Totals</b>	<b>43</b>	<b>12</b>	<b>8</b>	<b>23</b>	<b>23</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### GRAND TOTALS FOR ACADEMY PRIMARY RECEPTION 2020/2120

	HEARD	UPHELD	PERCENTAGE
RECEPTION APPEALS ALL	23	0	0%
ICS APPEALS	23	0	0%

#### Trends for Academies Primary Reception

Year	Percentage upheld
2015-16	0%
2016-17	4%
2017-18	0%
2018-19	0%
2019-20	0%
2020-21	0%
2021-22	0%



#### 4.5 Junior and Middle School appeals for 2021/22 school year

All areas	Appeals lodged	Appeal withdrawn by parents before hearing	Offered a place before hearing	Appeals Heard	Rejected	Upheld 1	Upheld 2	Upheld A	Upheld B
Entry to Junior School	4	0	2	2	2	0	0	0	0
Entry to Middle School	4	0	1	3	3	0	0	0	0
<b>TOTAL</b>	<b>8</b>	<b>0</b>	<b>3</b>	<b>5</b>	<b>5</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

- Of the five appeals heard, none were upheld representing a 0% success rate.

#### 5. Secondary transfer appeals for 2021/22 school year

##### 5.1 Community and Voluntary Controlled schools: Secondary Transfer 2021/22

All areas	Appeals lodged	Appeal withdrawn by parents before hearing	Offered a place before hearing	Appeals Heard	Rejected	Upheld 1	Upheld 2	Upheld A	Upheld B
Marriotts School	30	11	0	19	13	1	5	0	0

(There were no other Community and Voluntary Controlled secondary schools with secondary transfer appeals)

Of a total of 19 appeals heard, 6 were upheld representing a success rate of 31.6%

In 2020-21, 18 appeals were heard and 4 were upheld representing a success rate of 22%

## 5.2 Foundation schools: Secondary Transfer 2021/22

ALL AREAS	Appeals lodged	Appeal withdrawn by parents before hearing	Offered a place before hearing	Appeals Heard	Rejected	Upheld 1	Upheld 2	Upheld A	Upheld B
Ashlyns School	9	1	4	4	4	0	0	0	0
Fearnhill Maths and Computing College	1	1	0	0	0	0	0	0	0
The Nobel School	24	3	3	18	14	0	4	0	0
The Astley Cooper	8	1	2	5	3	0	2	0	0
The Bishop's Stortford High	15	4	2	9	5	1	3	0	0
The Highfield School	8	6	0	2	1	0	1	0	0
The Hemel Hempstead School	20	5	1	14	12	0	2	0	0
The Priory School	15	6	1	8	7	0	1	0	0
<b>OVERALL TOTAL</b>	<b>100</b>	<b>27</b>	<b>13</b>	<b>60</b>	<b>46</b>	<b>1</b>	<b>13</b>	<b>0</b>	<b>0</b>

- Of the 60 appeals heard, 14 were upheld representing a 23.3% success rate.
- In 2020/21, 45 appeals were heard and 14 were upheld representing a 31% success rate.

## 5.3 Voluntary-Aided schools: Secondary Transfer 2021/22

Appeals panel areas	Appeals lodged	Appeal withdrawn by parents before hearing	Offered a place before hearing	Appeals Heard	Rejected	Upheld 1	Upheld 2	Upheld A	Upheld B
St Mary's Catholic School	14	6	4	4	2	1	1	0	0

(There were no other VA secondary schools with secondary transfer appeals)

- Of the four appeals heard, two were upheld representing a 50% success rate.
- In 2020/21, four appeals were heard with a 50% success rate.

## 5.4 Academies and Free Schools: Secondary Transfer 2021/22

School	Appeals lodged	Appeal withdrawn by parents before hearing	Offered a place before hearing	Appeals Heard	Rejected	Upheld 1	Upheld 2	Upheld A	Upheld B
The Barclay Academy	1	0	1	0	0	0	0	0	0
Beaumont School	18	5	1	12	8	0	4	0	0
Birchwood High School	7	2	2	3	2	0	1	0	0
Bishop's Hatfield Girls' School	21	1	4	16	13	0	3	0	0
Bushey Meads School	26	7	1	18	13	0	5	0	0
Chancellor's School	16	3	1	12	12	0	0	0	0
Croxley Danes School	17	7	1	9	7	0	2	0	0
Dame Alice Owen's School	18	1	1	16	13	0	3	0	0
Future Academies Watford	1	0	1	0	0	0	0	0	0
Goffs Churchgate School	34	11	3	20	13	3	4	0	0
Goffs School	48	4	1	43	39	0	4	0	0
Haileybury Turnford School	1	0	1	0	0	0	0	0	0
Hertswood Academy	1	0	1	0	0	0	0	0	0
Hitchin Boys' School	8	1	4	3	3	0	0	0	0
Hitchin Girls' School	14	2	5	7	6	0	1	0	0
John Henry Newman RC School	3	0	1	2	2	0	0	0	0
Katherine Warrington School	5	2	1	2	1	0	1	0	0
Kings Langley School	14	3	3	8	7	0	1	0	0
Laureate Academy	2	1	1	0	0	0	0	0	0
Longdean School	13	1	0	12	11	0	1	0	0
Marlborough School Science Academy	4	0	4	0	0	0	0	0	0
Monks Walk School	4	0	4	0	0	0	0	0	0
Nicholas Breakspear Catholic School	2	0	0	2	2	0	0	0	0
Onslow St Audrey's School	1	0	1	0	0	0	0	0	0
Parmiter's School	26	4	1	21	21	0	0	0	0
Presdales School	13	0	7	6	4	0	2	0	0
Queens' School	34	7	1	26	20	1	5	0	0

Richard Hale School	6	0	6	0	0	0	0	0	0
The Robert Barclay Academy	5	0	5	0	0	0	0	0	0
Rickmansworth School	14	4	2	8	7	0	1	0	0
Ridgeway Academy	4	0	4	0	0	0	0	0	0
Roundwood Park School	2	0	2	0	0	0	0	0	0
Samuel Ryder Academy	11	4	2	5	3	0	2	0	0
Sandringham School	9	1	4	4	4	0	0	0	0
The Sele School	1	0	1	0	0	0	0	0	0
Simon Balle Sxhool	18	5	4	9	8	0	1	0	0
St Albans Girls' School	8	2	4	2	1	0	1	0	0
St Clement Danes School	11	4	4	3	2	0	1	0	0
St George's School	10	1	3	6	6	0	0	0	0
St Michael's Catholic High School	11	1	8	2	2	0	0	0	0
The Broxbourne School	17	4	3	10	9	0	1	0	0
The Chauncy School	15	0	13	2	1	0	1	0	0
The Hertfordshire and Essex High School	17	3	2	12	9	0	3	0	0
The Knights Templar School	10	4	4	2	2	0	0	0	0
The Leventhorpe School	25	8	1	16	14	0	2	0	0
The Thomas Alleyne Academy	7	1	2	4	3	0	1	0	0
Tring School	5	0	1	4	2	0	2	0	0
Watford Grammar School for Boys	22	3	1	18	17	0	1	0	0
Watford Grammar School for Girls	24	4	1	19	13	1	5	0	0
Westfield Academy	1	0	1	0	0	0	0	0	0
Yavneh College	4	0	4	0	0	0	0	0	0
<b>OVERALL TOTAL</b>	<b>609</b>	<b>111</b>	<b>134</b>	<b>364</b>	<b>300</b>	<b>5</b>	<b>59</b>	<b>0</b>	<b>0</b>

- Of the 364 appeals heard for a Secondary Transfer for an Academy or Free School, 64 were upheld, representing a 17.6% success rate.
- In 2020/21, of the 429 appeals heard for a Secondary Transfer for an Academy or Free School, 82 were upheld, representing a 19.1% success rate.

## **Trends – All school types**

<b>Secondary transfer 2021/22</b>	<b>Percentage upheld</b>
Community and VC Schools	31.6%
VA Schools	50%
Foundation Schools	23.3%
Academies and Free Schools	17.3%

## **Overall percentages**

<b>Year</b>	<b>Percentage upheld</b>
<b>2015-16</b>	<b>19%</b>
<b>2016-17</b>	<b>25%</b>
<b>2017-18</b>	<b>17.6%</b>
<b>2018-19</b>	<b>22.5%</b>
<b>2019-20</b>	<b>21.7%</b>
<b>2020-21</b>	<b>20.6%</b>
<b>2021-22</b>	<b>19.2%</b>

### **Outcomes:**

#### **Upheld 1: 1.8%**

The case was NOT proved that to admit more children would “prejudice efficient education or the efficient use of resources” at the school

#### **Upheld 2: 17.4%**

The circumstances of the parental case were so exceptional as to outweigh the “prejudice” to the school

### **Admission Appeals for school year 2020/21**

Overall volume of school admission appeals heard for school year 2020/21

<b>School year Total</b>	<b>Hearings held</b>	<b>Decrease/increase over</b>
<b>2020/2021</b>	<b>1066</b>	<b>-9.6%</b>
2019/2020	1179	-1.4%
2018/2019	1196	+3.2%
2017/2018	1159	-6.4%
2016/2017	1238	-9%

(These figures include the secondary transfer and primary reception appeals for the respective school years).

The actual difference in number overall was a decrease of 113 appeals.

**Admission appeals for school year 2021/22 - Primary Reception and Secondary Transfer.**

Secondary transfer appeals administered by Customer Service for the 2021/22 school year, heard in the summer term 2021, were 9.9% down on those for secondary transfer 2020/21. The actual difference was a decrease of 49 appeals.

Primary Reception appeals administered by Customer Service for the 2021/22 school year, heard in the summer term 2021, were 27.1% down on those for primary reception 2020/21. The actual difference was a decrease of 45 appeals.

## **6. Ethnic monitoring data for admission appeals in 2020/21 school year**

The statistics for admission appeals for all schools by ethnicity are as below, which include only those parents who completed the monitoring form. The table below shows the percentage of appeals heard upheld in the parents' favour.

Ethnic group	Number of appeals that went to hearing	Percentage upheld
<u>White</u>		
<b>Any other White background</b>	28	7.14%
<b>British</b>	216	28.24%
<b>Gypsy/Roma</b>	4	0%
<b>Irish</b>	1	100%
<b>Italian</b>	2	50%
<b>Traveller from Irish Heritage</b>	6	50%
<b>Turkish</b>	4	0%
<u>Asian or Asian British</u>		
<b>Any other Asian</b>	6	0%
<b>Bangladeshi</b>	6	0%
<b>Indian</b>	30	3.33%
<b>Pakistan</b>	3	33.33%
<b>Pakistani</b>	10	0%
<u>Black or Black British</u>		
<b>African</b>	20	10%
<b>Any other Black</b>	2	0%
<b>Caribbean</b>	2	50%
	6	0%
<u>Chinese</u>		
<u>Mixed</u>		
<b>Any other Mixed background</b>	8	12.5%
<b>White and Asian</b>	8	0%
<b>White and Black African</b>	8	12.5%
<b>White and Black Caribbean</b>	10	10%
	11	0%
<u>Any other Ethnic Group</u>		
<u>Information not submitted</u>	689	18.87%

## 7. HOME TO SCHOOL TRANSPORT APPEALS

### Appeals for free home to school transport – all schools and academies

The home to school travel and transport statutory guidance, issued by the Department for Education in July 2014, introduced a structured two stage review process allowing parents to challenge decisions taken by the local authority in relation to home to school transport. Stage One ensures a review of the parent's application by a panel of senior officers and Stage Two allows the parent to request a review by an independent appeal panel. The figures below show the number of parents that progressed their application to an independent panel and the outcomes.

Academic Year	Appeals Lodged	Appeals Heard	Upheld in favour of parents	Rejected	Offered free transport prior to hearing	Parental request withdrawn
2016/17	35	25	11	14	3	7
2017/18	54	46	27	20	3	4
2018/19	33	31	16	15	1	1
2019/20	39	36	26	10	1	2
2020/21	23	20	7	13	3	0



## 8. SCHOOL EXCLUSION REVIEWS – All School types

Following a review there are three options open to the panel: to uphold the decision to exclude; recommend that the governing body reconsiders its decision, or quash the decision and direct that the governing body considers the exclusion again. The final option imposes a £4,000 fine if the governing body does not offer to reinstate the pupil within 10 days of being notified of the review panel's decision.

Academic Year	Application for Review lodged	Appeals Heard	Upheld the exclusion	Quash and direct reconsideration	Recommend reconsideration	Parental request withdrawn
2015/16	7	6	1	1	4	1
2016/17	11	10	6	1	3	1
2017/18	20	20	9	4	7	0
2018/19	20	19	6	5	8	1
2019/20	14	14	4	5	5	0
2020/21*	10	8	2	5	1	1

In 2020/21 there were 10 applications for review lodged by parents against the permanent exclusion of their child from a Hertfordshire school/academy. In two cases, the panel decided to uphold the decision to exclude, in five cases, the panel concluded that the decision to exclude the pupil was so flawed that they quashed the decision and directed that the governing body consider the exclusion again. In one case, the panel found there to be procedural improprieties which had had a significant impact on the quality of the decision-making process. Therefore, the panel recommended in this case that the governing body reconsider their decision. \*At time of going to press, one review is still to be heard.

### October 2021